

# Rush University Compliance Matrix

Compliance Area	Operational Compliance Owner	Compliance Partners	Examples of Applicable Regulatory Issues
Academic Programs	<p>David Katz, PhD, ABPP Vice Provost for Academic Affairs (312) 947-2793</p> <p>College Deans: - Andrew Bean, PhD, Graduate College (312) 942-3589 - Cynthia Brincat, MD, PhD FACOG, Interim Dean, Rush Medical College (312) 942-7131 - Charlotte B. Royeen, PhD, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, PhD, RN, College of Nursing (312)-942-5836</p>	<p>Vice Provost, Student Affairs Vice Provost, Faculty Affairs Office of Student Accessibility Services Office of the Registrar Office of Student Life Office of Institutional Equity Financial Aid Office University Curriculum Committee Office of Institutional Effectiveness</p>	<p>Accreditation; Non-Discrimination; Disability; Higher Education Opportunity; Student Academic Performance; dismissal, academic misconduct; Illinois Department of Higher Education policies and guidelines</p>
University Accounting and Finance	<p>Vince Gattuso, MBA, BA Chief Financial Business Officer (312)-563-7527</p> <p>John McClatchy, BS, BBA Senior Director, Office of the Provost (312) 942-2186</p> <p>Patrick McNulty, Manager of Financial Affairs (312) 942-6849</p>	<p>Director of Financial Aid Fund Accounting Research Revenue Cycle Philanthropy Office College Financial Operations Office of Research Affairs Conflict of Interest Office</p>	<p>Federal Regulations; Higher Education Act: Disclosure of Foreign Gifts; Title IV; Tuition and Student Loan Interest Reporting; Uniform Guidance; PHS Grants Management Policy; CMS payment rules</p>

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Accreditation	<p>David Katz, PhD, ABPP, Vice Provost for Academic Affairs (312) 947-2793</p> <p>College Deans: - Andrew Bean, PhD, Graduate College (312) 942-3589 - Cynthia Brincat, MD, PhD FACOG, Interim Dean, Rush Medical College (312) 942-7131 - Charlotte B. Royeen, PhD, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, PhD, RN, College of Nursing (312)-942-5836</p> <p>Susan Chubinskaya, PhD, Vice Provost, Faculty Affairs (CILL/CME accreditation) (312) 942-6306</p>	<p>Office of Institutional Effectiveness College Program Directors Chief Enrollment Management Officer and University Registrar Center for Innovative and Lifelong Learning (CILL)</p>	<p>Higher Education Act; University Accreditation (SACS); Continuing Education Accreditation (ACCME, ANCC, ACPE, APA, IDFPR)</p>

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Admissions	College Deans: - Andrew Bean, PhD, Graduate College (312) 942-3589 - Cynthia Brincat, MD, PhD FACOG, Interim Dean, Rush Medical College (312) 942-7131 - Charlotte B. Royeen, PhD, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, PhD, RN, College of Nursing (312)-942-5836	Admissions Officers: - Jill Volk: Director, Admissions & Recruitment, Rush Medical College <a href="mailto:Jill_M_Volk@rush.edu">Jill_M_Volk@rush.edu</a> - Marenda Wilson-Pham: Associate Dean, Graduate College (312) 942-3589 - Molly Spurlock: Director, Prelicensure Academic Advancement, College of Nursing (312) 942-6226 - Dina Batlivala: Director of Marketing, College of Health Sciences (312) 942-0605	Non-Discrimination; Higher Education Act (sections related to admissions); Privacy/FERPA; Diversity; Title IV, VII & IX
Auxiliary Services/Management	Chris Kanakis, Director, University Facilities (312) 942-6791	Occupational Safety Biological Safety Officer Office of the Registrar	Licensing and permits; OSHA; Fire Safety Regulation
Campus Safety	Peter C. Arndt, Director of Security & Emergency Management (312) 942-5678	Title IX Coordinator Vice Provost, Student Affairs	Clery Act; Campus Crime Prevention; Emergency planning; Title IV & IX; Drug Free Schools and Communities Act; Biennial Review
Contracts & Procurement	Vince Gattuso, MBA, BA Chief Financial Business Officer (312) 563-7527	Facilities Management RUSH Legal Sponsored Programs Administration Conflict of Interest	Anti-Kickback Act; Anti-discrimination laws for federal contractors; Higher Education Act (Foreign Gift and Contracts); Purchasing; Vendor Requirements

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Copyright & Trademark	Heather Kartsounes, JD Senior Associate General Counsel (312) 942-6886  Scott Thompson, Director of Library Services (312) 942-8735	Technology Transfer Office Office of Research Affairs	Copyright Act; Digital Millennium Copyright Act; Trademark Revision Act; TEACH Act
Disabilities	Marie Ferro-Lusk, Director, Student Accessibility Office (312) 942-5237	Director of University Facilities Vice Provost, Faculty Affairs Vice Provost, Student Affairs Human Resources College Deans Program Directors	Accessibility and Accommodations; ADA; Family and Medical Leave Act; Section 504 of the Rehabilitation Act

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Diversity/Affirmative Action	<p>Larry Goodman, MD Interim President, Chief Academic Officer (312) 942-6683</p> <p>Vice/Associate College Deans: - Cynthia E. Boyd, MD: Rush Medical College <a href="mailto:cynthia_e_boyd@rush.edu">cynthia_e_boyd@rush.edu</a> - Wrenetha Julion, PhD, MPH, RN, CNL, FAAN, Professor, Associate Dean for Equity &amp; Inclusion- College of Nursing (312) 942-6272 - Jason S. Turner, PhD: College of Health Sciences (312) 563-0974 - Marena Wilson-Pham, PhD, Sr. Director of Enrollment Management and University Registrar: Graduate College (312) 942-3589</p>	<p>Director of Student Diversity and Community Engagement Vice Provost, Faculty Affairs Vice Provost, Student Affairs Office of Institutional Equity Human Resources Diversity Leadership Council</p>	<p>Affirmative Action/Equal Employment Opportunity; Age Discrimination Act; ADA; Equal Pay Act; Illinois Human Rights Act</p>
Environmental Health & Safety	<p>Steven Edwards, Director of Occupational Safety and Emergency Management (312) 942-7245</p>	<p>Director of Security, Director of University Facilities Vice Provost, Research Director of Medical Center Engineering (MCE)</p>	<p>Clean Air and Water Acts; CERCLA; Energy Policy Act; Hazardous Materials and Solid Waste; Lab Safety; OSHA</p>

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Ethics	<p>Clayton Thomason, JD, MDiv            Chair, Department of Religion,            Health and Human Values (312)            942-5826</p> <p>Stephanie Guzik, MBA, BSN, RN,            CHRC, AVP University            Compliance Officer and COI            (312) 942-1296</p>	<p>Chief Compliance Officer            Human Resources            RUSH Legal            Institutional Review Board (IRB)            Conflict of Interest Committees Office            of Institutional Equity (OIE)</p>	<p>Ethics in Government Act; Federal Ethic            Statues; Code of Conduct; Compliance            Programs; Fraud and Abuse</p>
Financial Aid	<p>Jill Gable, MA, MBA, FAAC            Director of Student Financial            Aid, Rush University            (312) 942-6256</p>	<p>Chief Enrollment Management Officer            and University Registrar            Chief Financial Business Officer            Vice Provost, Student Affairs            Vice Provost, Academic Affairs            Financial Affairs Office            Vice Provost, Faculty Affairs (Diversity            scholarship)</p>	<p>Higher Education Act (Aid Application,            Audits, Borrower Defense to Repayment,            Code of Conduct, Eligibility and            Certification, Federal Work Study, PLUS            Loan, Perkins Loan); Student Loan Default            Prevention Initiative; Title IV; Diversity            scholarship; Program Participation            Agreement</p>
Fundraising & Development	<p>Peter Briechle, PhD            Vice President, Programs and            Services, Philanthropy (312)            563-9063</p>	<p>Marketing and Communications            Chief Financial Business Officer            Presidents Office            Director of Financial Aid            College Deans</p>	<p>Higher Education Act: Foreign Gift and            Contract Reports; Internal Revenue Code;            Pension Protection Act; Philanthropy            Protection Act</p>

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Governance	<p>Board of Governors  <a href="https://www.rushu.rush.edu/about/leadership-and-administration">https://www.rushu.rush.edu/about/leadership-and-administration</a></p> <p>Board of Trustees  <a href="https://www.rush.edu/hospital-leadership">https://www.rush.edu/hospital-leadership</a></p> <p>Larry Goodman, MD,                      Interim President, Chief Academic Officer                      (312) 942-6683</p>	<p>RUSH Legal                      University Compliance Office                      Chief Financial Business Officer</p>	<p>Federal Sentencing Guidelines; IRS: Governance Information; Open Meetings Act</p>
Grants Management (Research)	<p>Andrew Bean, PhD                      Interim Vice Provost for Research (312) 942-3589</p> <p>Jennifer Garcia,                      Director, Sponsored Programs Administration (312) 942-3554</p>	<p>College Deans                      Department Chairs                      Finance- Fund Accounting                      Office of Research Affairs                      Office of Research Compliance                      Research Integrity Officer</p>	<p>Office of Management and Budget (OMB) Memoranda                      Uniform Administrative Requirements, Cost Principles and Audit Requirements                      HHS Grants Policy Statement                      OMB Circulars                      Federal Funding Transparency Act</p>
Student ADA Accommodations	<p>Gayle B. Ward, JD                      Vice Provost for Student Affairs                      (312) 942-2285</p>	<p>Director of Student Accessibility</p>	<p>ADA; Section 504 of The Rehabilitation Act; Title IX</p>

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Human Resources	<p>Courtney Kammer, MHA Senior Vice President and Chief Human Resources Officer (312) 942-7376</p> <p>Valerie Price, MBA HR Business Partner, Rush Oak Park Hospital (708) 660-5675</p>	<p>Title IX Coordinator Corporate Compliance Office RUSH Legal Privacy Office Chief Financial Business Officer Chief Operating Officer University Provost Chief Nursing Officer Medical Staff Office</p>	<p>Employee Benefits and Retirement; FMLA; Hiring and Termination; HIPAA; Medicare, Medicaid, and SCHIP Extension Act; Title IX; USERRA; Compensation Equity; Fair Labor Standards Act; IL wage/hour statutes; Illinois Human Rights Act</p>
Immigration/International Students	<p>Brenda Weddington, M.Ed. Chief Enrollment Management Officer and University Registrar (312) 942-5681</p> <p>Annie Z. Bretzer, BA Immigration Paralegal (312) 942-6886</p>	<p>Vice Provost, Student Affairs Vice President, Human Resources RUSH Legal Program Directors University Compliance</p>	<p>Immigration and Nationality Act; Student Exchange and Visitor Information System; Foreign Influence</p>
Information Technology	<p>Wayne Keathly Executive Vice President and Chief Operating Officer (312) 942-2055</p>	<p>Corporate Compliance Presidents Office Privacy Office Chief Financial Business Officer</p>	<p>Foreign Agents Registration Act; Foreign Corrupt Practices Act</p>
Intellectual Property & Technology Transfer	<p>Heather Kartsounes, JD Senior Associate General Counsel (312) 942-6886</p>	<p>Technology Transfer Office Office of Research Affairs</p>	<p>Copyright Act; Digital Millennium Copyright Act; Trademark Revision Act; TEACH Act</p>
Information Security	<p>Michael Levinson Chief Information Security Officer, (312) 942-4043</p>	<p>College Deans, Institutional Review Board (IRB), Vice Provost Student Affairs, CISCO, Corporate Compliance, University Registrar, Univeristy Financial Aid</p>	<p>HIPAA, HITECH, CMMC, PCI-DSS</p>



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Privacy	Andy Reeder, MPA, CIPP/US, CISP, Privacy Office (312) 942-2995	College Deans, Institutional Review Board (IRB), Vice Provost Student Affairs, CISCO, Corporate Compliance, University Registrar, University Financial Aid	HIPAA; State of IL Personal Information Protection Act; FERPA; GLBA; Office of the National Coordinator (ONC); 21st Century Cures Act; Genetic Information Non-Disclosure Act; The Joint Commission (TJC); Centers for Medicare & Medicaid Services (CMS)
Program Integrity Rules	David Katz, PhD, ABPP Vice Provost, Academic Affairs, Chief Knowledge Officer (312) 947-2793	Vice Provost, Student Affairs	Higher Education Act: Gainful Employment, Credit Hour Definition, Incentive Compensation Prohibition, Misrepresentation, State Authorization
Research	Andrew Bean, PhD Interim Vice Provost for Research (312) 942-3589	Office of Research Affairs Research Revenue Cycle College Deans Office of Research Compliance/COI Vice Provost, Faculty Affairs	Animal Welfare Act; Clinical Trials & Financial Disclosures by Investigators; Export Administration Regulations; FDA; HHS/NIH Regulations; HIPAA; Protection of Human Subject Regulations; Public Health Service Policies on Research Misconduct
Sexual Misconduct	Nancee Hofheimer, JD Title IX Director <a href="mailto:nancee_b_hofheimer@rush.edu">nancee_b_hofheimer@rush.edu</a>	Campus Safety College Deans Human Resources	Campus Sex Crimes Prevention Act; Clery Act; Violence Against Women Act; Title IX; Title VII; IL Preventing Sexual Violence in Higher Education Act
Student Health Services	Gayle B. Ward, JD Vice Provost for Student Affairs (312) 942-2285	College Deans RUMC Employee Health Services	HIPAA; HITECH; FERPA

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Tax	Patricia Steeves O'Neil, Senior Vice President, System Chief Financial Officer (312) 942-5600  Vince Gattuso, MBA, BA Chief Financial Business Officer (312) 563-7527	Conflict of Interest Human Resources RUSH Legal Tax Manager	Byrd Amendment; Charitable Gift Annuity Antitrust Relief Act; Deferred Compensation; Employee Annuities; Federal Unemployment Tax Act; Fringe Act; Independent Contractors; Public Disclosure of Material; Reporting of Payments of Royalties
Academic Freedom	TBD	College Deans RUSH Legal University Council	Discrimination, Higher Ed
Faculty Qualification and Credentials	Susan Chubinskaya, PhD, Vice Provost, Faculty Affairs, (312) 942-6306 Medical Staff Office (312) 942-5496	College Deans Department Chairs	Accreditation agencies (HLC, LCME...)