### Scientific Misconduct: Process for Review and Reporting Allegations

**ALLEGATION RECEIVED**
Made in good faith with some form of proof

Forwarded

**RESEARCH INTEGRITY OFFICER (RIO)**
Evaluates claim to determine if within scope of definition of research misconduct (intake comments from complainant and respondent)

- **Outside of Scope**
- **Within Scope**

**INQUIRY PHASE**
Initial review of evidence by the Scientific Misconduct Committee (SMC) to decide to collect more information or move to a full investigation.

Full investigation will occur if allegation has:
1. Reasonable basis for concluding allegation falls within federal regulation; **AND**
2. Preliminary fact finding indicates allegation has merit.

- **Not Met**
  - Send closeout letter to complainant and respondent
- **Met**

**INVESTIGATION PHASE**
Conducted by the SMC and the RIO, the SMC determines whether misconduct occurred, those responsible and actions to be taken.

An investigation report and recommendations of corrective action will be generated by the RIO on behalf of the SMC and the Provost.

**SMC DETERMINED MISCONDUCT**

- **No**
- **Yes**

**APPEAL**

- **No**
  - INSTITUTION/SMC SANCTIONS
- **Yes**

**APPEAL PROCESS TRIGGERED**