

Rush University Compliance Matrix

Compliance Area	Operational Compliance Owner	Compliance Partners	Examples of Applicable Regulatory Issues
Academic Programs	<p>David Katz, Vice Provost for Academic Affairs (312) 947-2793</p> <p>College Deans: - Andrew Bean, Graduate College (312) 942-3589 - Dino P. Rumoro, DO, MPH, Interim Dean, Rush Medical College (312) 947-0229 - Charlotte B. Royeen, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, College of Nursing (312)-942-5836</p>	<p>Vice Provost, Student Affairs Vice Provost, Faculty Affairs Office of Student Accessibility Services Office of the Registrar Office of Student Life Office of Institutional Equity Financial Aid Office University Curriculum Committee Office of Institutional Effectiveness</p>	<p>Accreditation; Non-Discrimination; Disability; Higher Education Opportunity; Student Academic Performance; dismissal, academic misconduct; Illinois Department of Higher Education policies and guidelines</p>
University Accounting and Finance	<p>Vince Gattuso, Chief Financial Business Officer (312)-563-7527</p> <p>John McClatchy, Senior Director, Medical Affairs (312) 942-2186</p> <p>Patrick McNulty, Manager of Financial Affairs (312) 942-6849</p>	<p>Director of Financial Aid Fund Accounting Research Revenue Cycle Philanthropy Office College Financial Operations Office of Research Affairs Conflict of Interest Office</p>	<p>Federal Regulations; Higher Education Act: Disclosure of Foreign Gifts; Title IV; Tuition and Student Loan Interest Reporting; Uniform Guidance; PHS Grants Management Policy; CMS payment rules</p>

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Accreditation	<p>David Katz, Vice Provost for Academic Affairs (312) 947-2793</p> <p>College Deans: - Andrew Bean, Graduate College (312) 942-3589 - Dino P. Rumoro, DO, MPH, Interim Dean, Rush Medical College (312) 947-0229 - Charlotte B. Royeen, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, College of Nursing (312) 942-5836</p> <p>Susan Chubinskaya, Vice Provost, Faculty Affairs (CILL/CME accreditation) (312) 942-6306</p>	<p>Office of Institutional Effectiveness College Program Directors Chief Enrollment Management Officer and University Registrar Center for Innovative and Lifelong Learning (CILL)</p>	<p>Higher Education Act; University Accreditation (SACS); Continuing Education Accreditation (ACCME, ANCC, ACPE, APA, IDFPFR)</p>

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Admissions	College Deans: - Andrew Bean, Graduate College (312) 942-3589 - Dino P. Rumoro, DO, MPH, Interim Dean, Rush Medical College (312) 947-0229 - Charlotte B. Royeen, College of Health Sciences (312) 942-9658 - Christine M. Kennedy, College of Nursing (312)-942-5836	Admissions Officers: - Jill Volk: Director, Admissions & Recruitment, Rush Medical College Jill_M_Volk@rush.edu - Marenda Wilson-Pham: Associate Dean, Graduate College (312) 942-3589 - Molly Spurlock: Director, Prelicensure Academic Advancement, College of Nursing (312) 942-6226 - Dina Batlivala: Director of Marketing, College of Health Sciences (312) 942-0605	Non-Discrimination; Higher Education Act (sections related to admissions); Privacy/FERPA; Diversity; Title IV, VII & IX
Auxiliary Services/Management	Chris Kanakis, Director, University Facilities (312) 942-6791	Occupational Safety Biological Safety Officer Office of the Registrar	Licensing and permits; OSHA; Fire Safety Regulation
Campus Safety	Peter C. Arndt, Director of Security & Emergency Management (312) 942-5678	Title IX Coordinator Vice Provost, Student Affairs	Clery Act; Campus Crime Prevention; Emergency planning; Title IV & IX; Drug Free Schools and Communities Act; Biennial Review
Contracts & Procurement	Vince Gattuso, Chief Financial Business Officer (312) 563-7527	Facilities Management RUSH Legal Sponsored Programs Administration Conflict of Interest	Anti-Kickback Act; Anti-discrimination laws for federal contractors; Higher Education Act (Foreign Gift and Contracts); Purchasing; Vendor Requirements

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Copyright & Trademark	<p>Heather Kartsounes, Senior Associate General Counsel (312) 942-6886</p> <p>Scott Thompson, Director of Library Services (312) 942-5950</p>	<p>Technology Transfer Office Office of Research Affairs ☒</p>	<p>Copyright Act; Digital Millennium Copyright Act; Trademark Revision Act; TEACH Act</p>
Disabilities	<p>Marie Ferro-Lusk, Director, Student Accessibility Office (312) 942-7100</p>	<p>Director of University Facilities Vice Provost, Faculty Affairs Vice Provost, Student Affairs Human Resources College Deans Program Directors</p>	<p>Accessibility and Accommodations; ADA; Family and Medical Leave Act; Section 504 of the Rehabilitation Act</p>
Diversity/Affirmative Action	<p>Sherine E. Gabriel, President, Chief Academic Officer (312) 942-7100</p> <p>Vice/Associate College Deans: - Cynthia E. Boyd: Rush Medical College cynthia_e_boyd@rush.edu - Wrenetha Julion: College of Nursing (312) 942-6272 - Jason S. Turner: College of Health Sciences (312) 563-0974 - Marendra Wilson-Pham: Graduate College (312) 942- 3589</p>	<p>Director of Student Diversity and Community Engagement Vice Provost, Faculty Affairs Vice Provost, Student Affairs Office of Institutional Equity Human Resources Diversity Leadership Council</p>	<p>Affirmative Action/Equal Employment Opportunity; Age Discrimination Act; ADA; Equal Pay Act; Illinois Human Rights Act</p>

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Environmental Health & Safety	Steven Edwards, Director of Occupational Safety (312) 942-7245	Director of Security & Emergency Management Director of University Facilities Vice Provost, Research	Clean Air and Water Acts; CERCLA; Energy Policy Act; Hazardous Materials and Solid Waste; Lab Safety; OSHA
Ethics	Clayton Thomason, Chair, Department of Religion, Health and Human Values (312) 942-5826 Stephanie Guzik, University Compliance Officer (312) 942-1296	Chief Compliance Officer Human Resources RUSH Legal Institutional Review Board (IRB) Conflict of Interest Committees Office of Institutional Equity (OIE)	Ethics in Government Act; Federal Ethic Statues; Code of Conduct; Compliance Programs; Fraud and Abuse
Financial Aid	Jill Gable, Director of Student Financial Aid, Rush University (312) 942- 6256	Chief Enrollment Management Officer and University Registrar Chief Financial Business Officer Vice Provost, Student Affairs Vice Provost, Academic Affairs Financial Affairs Office Vice Provost, Faculty Affairs (Diversity scholarship)	Higher Education Act (Aid Application, Audits, Borrower Defense to Repayment, Code of Conduct, Eligibility and Certification, Federal Work Study, PLUS Loan, Perkins Loan); Student Loan Default Prevention Initiative; Title IV; Diversity scholarship; Program Participation Agreement
Fundraising & Development	Peter Briechle, Vice President, Programs and Services, Philanthropy (312) 563-9063	Marketing and Communications Chief Financial Business Officer Presidents Office Director of Financial Aid College Deans	Higher Education Act: Foreign Gift and Contract Reports; Internal Revenue Code; Pension Protection Act; Philanthropy Protection Act

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Governance	Board of Governors https://www.rushu.rush.edu/about/leadership-and-administration Board of Trustees https://www.rush.edu/hospital-leadership Sherine E. Gabriel, President, Chief Academic Officer (312) 942-7100	RUSH Legal University Compliance Office Chief Financial Business Officer	Federal Sentencing Guidelines; IRS: Governance Information; Open Meetings Act
Grants Management (Research)	Andrew Bean, Interim Vice Provost for Research (312) 942-3589 Jennifer Garcia, Director, Sponsored Programs Administration (312) 942-3554	College Deans Department Chairs Finance- Fund Accounting Office of Research Affairs Office of Research Compliance Research Integrity Officer	Office of Management and Budget (OMB) Memoranda Uniform Administrative Requirements, Cost Principles and Audit Requirements HHS Grants Policy Statement OMB Circulars Federal Funding Transparency Act
Student Housing	Gayle B. Ward, Vice Provost for Student Affairs (312) 942-5237	Director of Facilities Director of Student Accessibility Campus Safety	ADA; Section 504 of The Rehabilitation Act; Title IX

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Human Resources	<p>Courtney Kammer, Senior Vice President, Chief Human Resources Officer (312) 942-2055</p> <p>Marcos DeLeon, Senior Vice President, Chief Human Resources Officer (312) 942-0427</p> <p>Valerie Price, University Partner (312) 942- 8876</p>	<p>Title IX Coordinator Corporate Compliance Office RUSH Legal Privacy Office Chief Financial Business Officer Chief Operating Officer University Provost</p>	<p>Employee Benefits and Retirement; FMLA; Hiring and Termination; HIPAA; Medicare, Medicaid, and SCHIP Extension Act; Title IX; USERRA; Compensation Equity; Fair Labor Standards Act; IL wage/hour statutes; Illinois Human Rights Act</p>
Immigration/International Students	<p>Brenda Weddington, Chief Enrollment Management Officer and University Registrar (312) 942-5681</p> <p>Annie Z. Bretzer, Immigration Paralegal (312) 942- 6886</p>	<p>Vice Provost, Student Affairs Vice President, Human Resources RUSH Legal Program Directors University Compliance</p>	<p>Immigration and Nationality Act; Student Exchange and Visitor Information System; Foreign Influence</p>
Information Technology	<p>Wayne Keathly, Executive Vice President & Chief Operating Officer, Interim Chief Information Officer (312) 942-2055</p>	<p>Corporate Compliance Presidents Office Privacy Office Chief Financial Business Officer</p>	<p>Foreign Agents Registration Act; Foreign Corrupt Practices Act</p>

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Intellectual Property & Technology Transfer	<p>Hiten Patel, Chief Product Officer, Rush and Innovation & Technology Transfer (312) 942-1650</p> <p>Bhaskar Chetnani, Intellectual Property Specialist (312) 563-2732</p>	<p>University Provost Vice Provost, Research Chief Financial Business Officer Senior Director, Medical Affairs</p>	<p>American Jobs Creation Act; Bayh-Dole Act; CREATE Act; Trademark Revision Act; U.S. Patent Act; Visual Artists Rights Act</p>
Privacy & Information Security	<p>Rajesh David, Associate Vice President, Information Services (312) 942-0564</p>	<p>College Deans Institutional Review Board Vice Provost, Student Affairs Privacy Officer, Corporate Compliance Chief Enrollment Management Officer and University Registrar</p>	<p>ADA; COPPA; Electronic Communications Privacy Act; Fair Credit Reporting Act; FACTA; FERPA; FISMA; Freedom Information Act; GLBA; HIPAA; HITECH Act</p>
Program Integrity Rules	<p>David Katz, Vice Provost, Academic Affairs, Chief Knowledge Officer (312) 947-2793</p>	<p>Vice Provost, Student Affairs</p>	<p>Higher Education Act: Gainful Employment, Credit Hour Definition, Incentive Compensation Prohibition, Misrepresentation, State Authorization</p>
Research	<p>Andrew Bean, Interim Vice Provost for Research (312) 942-3589</p>	<p>Office of Research Affairs Research Revenue Cycle College Deans Office of Research Compliance/COI Vice Provost, Faculty Affairs</p>	<p>Animal Welfare Act; Clinical Trials & Financial Disclosures by Investigators; Export Administration Regulations; FDA; HHS/NIH Regulations; HIPAA; Protection of Human Subject Regulations; Public Health Service Policies on Research Misconduct</p>

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Sexual Misconduct	Nancee Hofheimer, Title IX Coordinator nancee_b_hofheimer@rush.edu	Campus Safety College Deans Human Resources	Campus Sex Crimes Prevention Act; Clery Act; Violence Against Women Act; Title IX; Title VII; IL Preventing Sexual Violence in Higher Education Act
Student Health Services	Gayle B. Ward, Vice Provost for Student Affairs (312) 942-5237	Chief Enrollment Management Officer and University Registrar College Deans RUMC Employee Health Services Information Services	HIPAA; HITECH; FERPA
Tax	Patricia Steeves O'Neil, Senior Vice President, Chief Financial Officer and Treasurer (312) 942-2353 Vince Gattuso, Chief Financial Business Officer (312) 563-7527	Conflict of Interest Human Resources RUSH Legal	Byrd Amendment; Charitable Gift Annuity Antitrust Relief Act; Deferred Compensation; Employee Annuities; Federal Unemployment Tax Act; Fringe Act; Independent Contractors; Public Disclosure of Material; Reporting of Payments of Royalties
Academic Freedom	Susan L. Freeman, Provost, Senior Vice President (312) 942-7100	College Deans RUSH Legal University Council	Discrimination, Higher Ed
Faculty Qualification and Credentials	Susan Chubinskaya, Vice Provost, Faculty Affairs, (312) 942-6306 Medical Staff Office (312) 942-5496	College Deans Department Chairs	Accreditation agencies (HLC, LCME...)