Faculty Guidance: Letters of Recommendation and FERPA

This guidance helps faculty determine when a letter of recommendation requires a signed consent under FERPA law.



Step 1: Determine if consent is required.

- NO CONSENT REQUIRED: A recommendation based solely on the recommender's observation or knowledge. (e.g. "I observed the student's leadership skills in class.")
- <u>CONSENT REQUIRED:</u> A recommendation that **includes any personally identifiable information** (grades, GPA, etc.) (e.g. "The student earned a 3.8 GPA and ranked in the top 10% of the program.")

Step 2: If required, ensure consent is on file.

- <u>PREFERRED METHOD:</u> Where possible, you are encouraged to have the student/alum complete the
 Office of the Registrar's <u>Faculty Letter of Recommendation Consent</u>. Upon submission, the Office
 of the Registrar will provide the details of the consent to the faculty so they can move forward with
 the recommendation.
- <u>ALTERNATE METHOD</u>: Some recommendation forms might include predefined consent language.
 Please be sure it meets the following requirements:
 - Must specify the records that may be disclosed in the recommendation.
 - o Must clearly state the purpose of the disclosure.
 - Must identify the party or class of parties to whom the disclosure can be made.

Step 3: Write the letter, securely send it to the recipient and retain a copy.

- Letters of recommendation and any required consents are considered a part of the student's/alum's education record and must be retained according to the document retention schedule of the university. Letters and consents can be forwarded to the Office of the Registrar for storage.
- A student has the right to read the recommendation unless they have waived that right in their signed consent.
- <u>Always securely send recommendations.</u> When sending recommendations to third parties, please remember that any documents with personally identifiable information must only be electronically sent through encrypted email or other secure means.